



# JOURNAL READING

## CAN NON-SWALLOWING FUNCTION ASSESSMENT PREDICT NASOGASTRIC TUBE REMOVAL IN PATIENTS WITH POST STROKE DYSPHAGIA? A CLINICAL STUDY

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## Can non-swallowing function assessment predict nasogastric tube removal in patients with poststroke dysphagia? A clinical study

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**Objective:** This study aimed to predict nasogastric tube (NGT) removal in patients with poststroke dysphagia (PSD) by non-swallowing function assessment.

**Methods:** We enrolled 232 eligible patients and performed rehabilitation. The Fugl-Meyer assessment motor (FMM) and National Institute of Health Stroke Scale (NIHSS) scores were used to measure the motor and overall nervous system functions. Predictors for NGT removal in patients with PSD after rehabilitation were analyzed.

**Results:** Of the 232 included patients, the NGTs were removed from 78% of them, while 22% were dependent on a feeding tube after 4 weeks of rehabilitation. Compared to the preserved NGT group, older age, a higher rate of intubation or tracheostomy, and more severe baseline functions were found in the NGT removal group. Age [odds ratio (OR) = 0.907; 95% confidence interval (CI): 0.859–0.957;  $p = 0.000$ ], difference in the FMM score after 4 weeks of rehabilitation (OR = 1.219; 95% CI: 1.145–1.299;  $p = 0.00$ ), and item 9 of NIHSS (OR = 0.488; 95% CI: 0.252–0.946;  $p = 0.034$ ) were predictors of NGT removal after rehabilitation.

**Conclusion:** We established a predictive model in patients with PSD using a non-swallowing assessment, which enabled us to predict swallowing recovery based on the non-swallowing function.

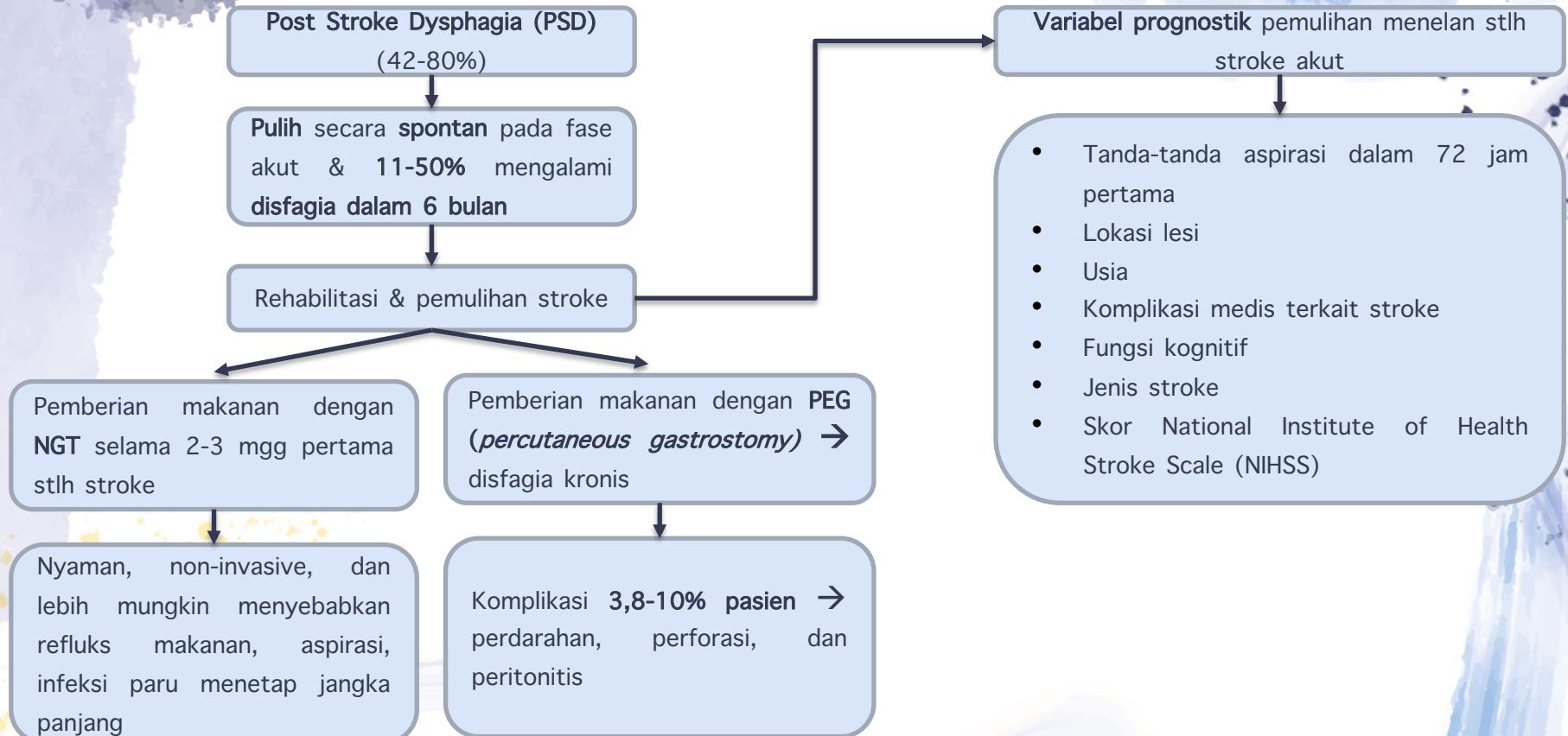
## KEYWORDS

dysphagia, stroke, prognosis, functional improvement, NIHSS

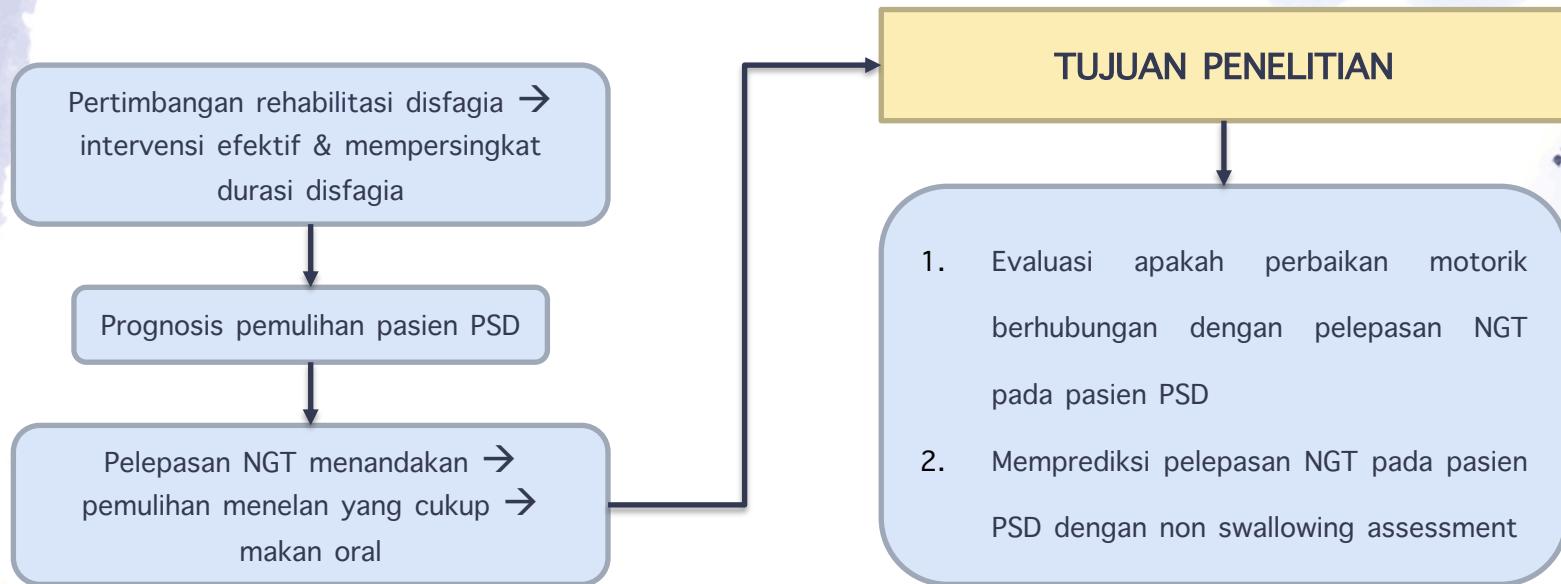
01

# PENDAHULUAN

# PENDAHULUAN



# PENDAHULUAN



02

## METODE PENELITIAN

# DESAIN PENELITIAN

01

## JENIS PENELITIAN

Studi analisis retrospektif

02

## LOKASI & WAKTU PENELITIAN

Departemen Neurologi, Pusat Rehabilitasi  
China pada Januari 2012 – Desember  
2021

03

## INSTRUMEN PENELITIAN

- Data demografis dan klinis (rekam medis)
- Pengukuran skor NIHSS (National Institute of Health Stroke Scale)
- Pengukuran skor FMM (Fugl-Meyer Assessment Motor)

04

## DIAGNOSIS STROKE

Computed tomography atau MRI

# KRITERIA INKLUSI & KRITERIA EKSKLUSI

## KRITERIA INKLUSI

1. Usia 18-80 tahun
2. Riwayat stroke iskemik supratentorial pertama dalam 3 bulan
3. Riwayat PSD
4. Menggunakan NGT
5. Tidak ada riwayat pelatihan rehabilitasi menelan sebelumnya
6. Tidak terdapat penurunan kesadaran
7. Penilaian kemampuan fungsional saat masuk dan setelah 4 minggu rehabilitasi.

## KRITERIA EKSKLUSI

1. Lesi bilateral
2. Disfungsi jantung berat → Sindrom Koroner Akut, Infark Miokard, dan Gagal Jantung
3. Komplikasi serius yang mencegah rehabilitasi → Severe Pneumonia, Emboli Paru, Disfungsi Hepar, atau Dialisis Ginjal
4. Riwayat penyakit kanker, PPOK, malnutrisi, penyakit ginjal kronis, dan gangguan jiwa
5. Penyebab dasar sebelum stroke → Multiple Sclerosis, Penyakit Parkinson, Demensia, Penyakit Neuron Motorik, atau Operasi kepala atau leher sebelumnya
6. Intubasi endotrakeal atau trakeotomi
7. Telah lulus tes videofluoroskopi penilaian menelan (VFSS) pada minggu pertama setelah masuk

# ASSESSMENT

## PENILAIAN FUNGSI MENELAN & KRITERIA PELEPASAN NGT

Penilaian fungsi menelan → 24 jam setelah masuk dengan tes menelan air yang dimodifikasi

1. Pasien dengan posisi duduk, instrusikan minum 3 atau 5 ml air tanpa menggunakan pipet atau jeda.
2. Jmlh air scr bertahap ditingkatkan → 10, 30, dan 60 ml. Pada 60 ml, pasien minum secepat dan seaman mungkin.
3. Minum 3 atau 5 ml yogurt dengan sendok. Penambahan jumlah yogurt sama seperti minum air.

**GAGAL** → batuk, regurgitasi, gerakan laring, atau penurunan saturasi O<sub>2</sub> selama menelan atau 10 menit setelahnya

**BERHASIL** → VFSS / Videofluorographic Swallowing Study (gold standard disfagia)

## VFSS

(diberikan makanan yang dicampur barium → tampak radiopak)

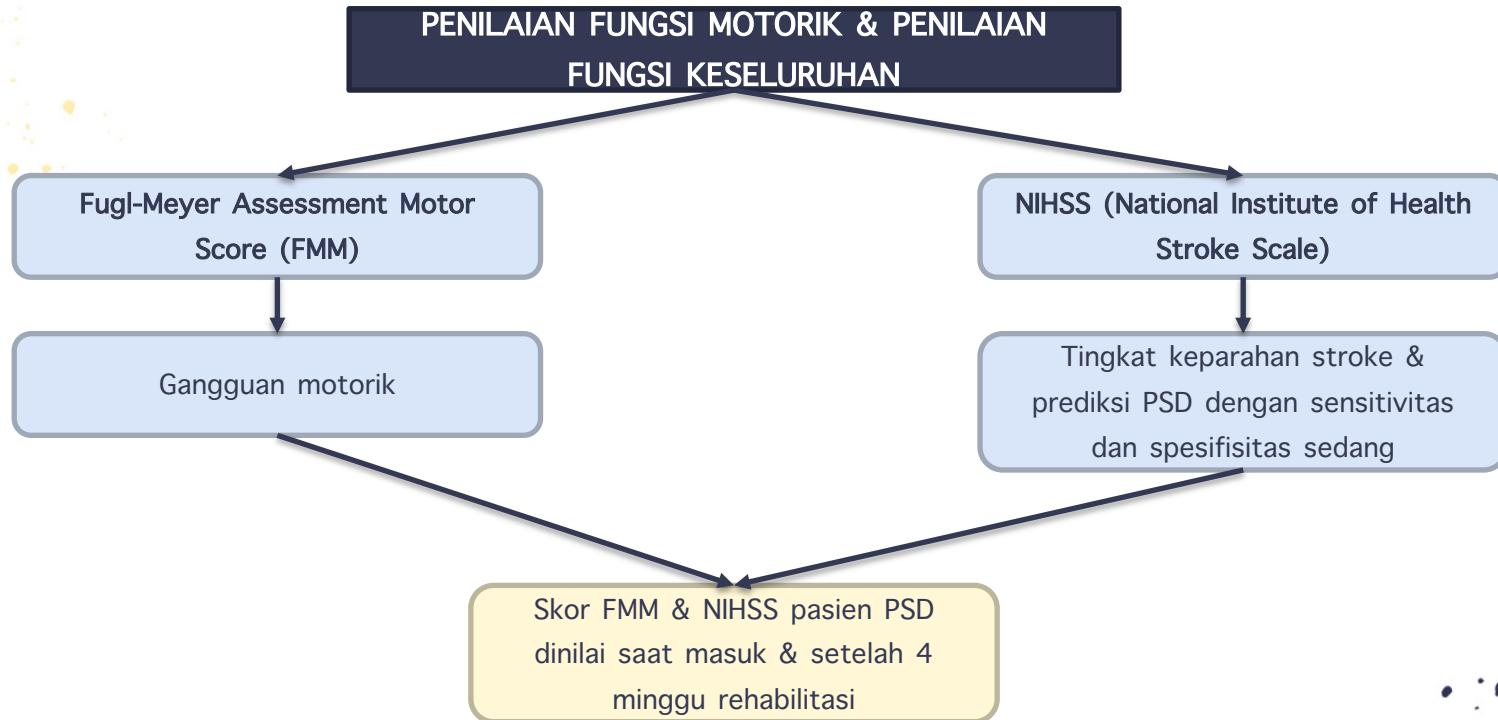
### PARAMETER PENILAIAN VF :

1. Transportasi bolus dari mulut ke faring
2. Penahan bolus di rongga mulut
3. Velopharyngeal seal
4. Gerakan dasar lidah
5. Penyempitan faring
6. Elevasi laring
7. Pembukaan sfingter esofagus bagian atas
8. Stasis bolus di sinus pyriform

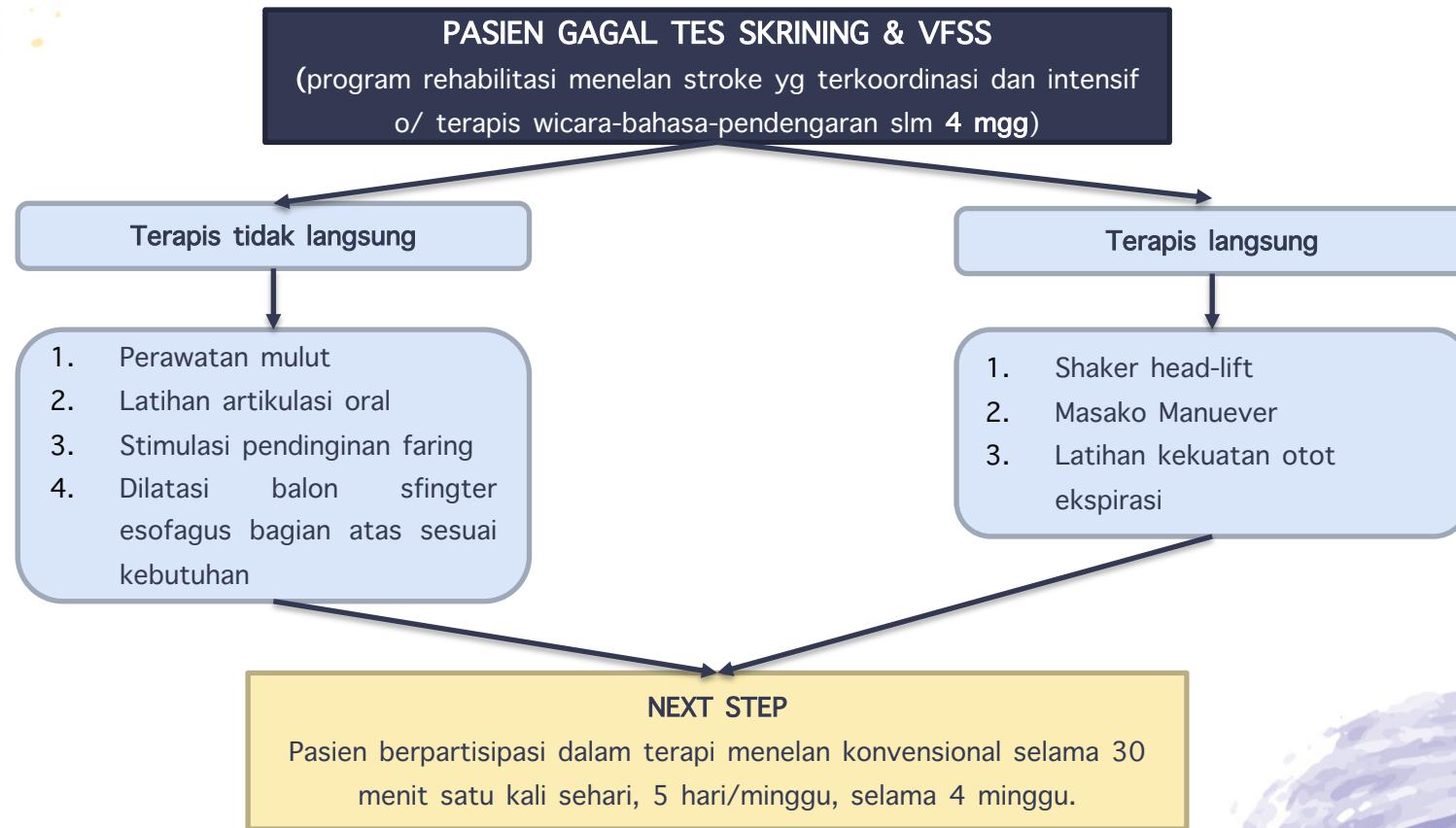
**Gejala** : Penutupan bibir yang terganggu, pembersihan mulut yang tidak lengkap, menelan sedikit demi sedikit berulang, pembersihan faring yang tidak lengkap, penetrasi, dan aspirasi

**GAGAL VFSS** →  
1/lebih gejala

# ASSESSMENT



# TREATMENT PROCEDURE



# ANALISIS STATISTIK

- **Analisis univariat** → membandingkan pasien dengan NGT dan tanpa melepas NGT
- Variabel kontinyu dinyatakan sebagai rata-rata  $\pm$  standar deviasi atau median
- **Tes Shapiro-Wilk** → normalitas distribusi data
- **Analisis bivariat** → perbedaan antara kelompok dianalisis dengan **Mann Whitney**
- **Analisis multivariat** → **Regresi Logistik** → identifikasi faktor resiko indenpenden
- Signifikansi  $p<0,05$  dalam analisis bivariat → variabel dilakukan model analisis multivariat

03

HASIL  
PENELITIAN

# KARAKTERISTIK SUBJEK PENELITIAN

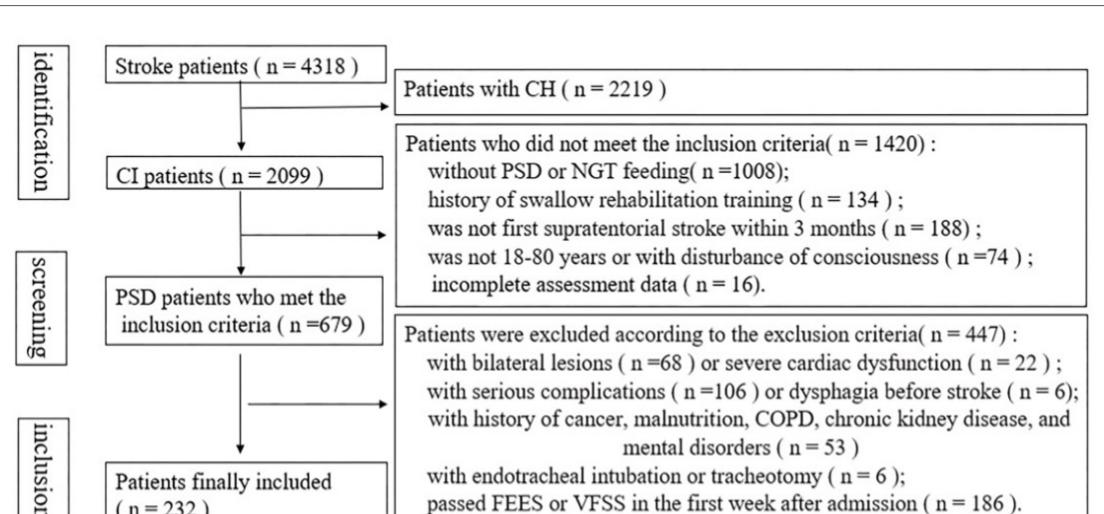


FIGURE 1

Flow diagram of the selection of patients from our cerebrovascular disease database and specific reasons for exclusion.

- Pasien stroke **4.318**
- Pasien CI → **2.099 pasien**
- Pasien yang memenuhi kriteria inklusi → **679 pasien**
- Total akhir pasien sesuai kriteria inklusi & eksklusi → **232 pasien**

# KARAKTERISTIK SUBJEK PENELITIAN

TABLE 1 Patients' characteristics.

Factors	Values (n = 232)
Removal of NGT	181 (78%)
Age, years	63.5 ± 10.7
Sex (men), n (%)	172 (74.1%)
<b>Past medical history</b>	
Hypertension, n (%)	169 (72.8%)
Diabetes mellitus, n (%)	95 (40.9%)
Dyslipidemia, n (%)	144 (62.1%)
Venous thrombosis, n (%)	55 (23.7%)
Coronary heart disease, n (%)	51 (22.0%)
Onset admission interval (OAI), days	28.8 ± 15.2
Stroke laterality (left), n (%)	122 (52.6%)
<b>Stroke location</b>	
Cortical branch of MCA	49 (21.1%)
Deep perforating branch of MCA	93 (40.1%)
MCA trunk	90 (38.8%)

## Stroke etiology

Large-artery atherosclerosis	149 (64.2%)
Cardioembolic	55 (23.7%)
Small-vessel occlusion	28 (12.1%)
Intubated or tracheostomy, n (%)	17 (7.3%)
Stroke severity (NIHSS) at admission	11.2 ± 4.6
FMM (admission)	24.8 ± 22.9
Pneumonia during rehabilitation, n (%)	47 (20.3%)

## Stroke outcome after 1 month

FMM (after 1 month)	45.2 ± 25.2
NIHSS score (after 1 month)	5.4 ± 2.2

NGT, nasogastric tube; MCA, middle cerebral artery; NIHSS, National Institute of Health Stroke Scale; FMM, Fugl-Meyer assessment motor score.

- Stlh 4 mgg rehabilitasi, **78%** dari 232 pasien PSD berhasil **melepas NGT**
- **Usia** → berada pada rentang usia 28-80 tahun, rata-rata **63.5 tahun**, dan median 65 tahun.
- **OAI** → 15-90 hari, **rata-rata 28.8 hari**, dan median 23,5 hari.
- Hemiplegia kanan → 52,6% pasien

TABLE 2 Risk factors for NGT removal in patients with PSD.

	NGT removed (N = 181)	NGT reserved (N = 51)	P-value
Age, years	61.7 ± 10.3	70.0 ± 9.6	0.000
Sex (men), n (%)	139 (76.8%)	33 (64.7%)	0.082
<b>Past medical history</b>			
Hypertension, n (%)	134 (74.0%)	35 (68.6%)	0.443
Diabetes mellitus, n (%)	70 (38.7%)	25 (49.0%)	0.184
Dyslipidemia, n (%)	115 (63.5%)	29 (56.9%)	0.386
Venous thrombosis, n (%)	40 (22.1%)	15 (29.4%)	0.278
Coronary heart disease, n (%)	41 (22.7%)	10 (19.6%)	0.643
Onset admission interval (OAI), days	28.5 ± 15.6	30.0 ± 13.8	0.523
Stroke laterality (left), n (%)	91 (50.3%)	31 (60.8%)	0.184
<b>Stroke location</b>			0.163
Cortical branch of MCA	38 (21.0%)	11 (21.6%)	
Deep perforating branch of MCA	78 (43.1%)	15 (29.4%)	
MCA trunk	65 (35.9%)	25 (49.0%)	
<b>Stroke etiology</b>			0.234
Large-artery atherosclerosis	112 (61.9%)	37 (72.5%)	
Cardioembolic	44 (24.3%)	11 (21.6%)	
Small-vessel occlusion	25 (13.8%)	3 (5.9%)	
Intubated or tracheostomy	8 (4.4%)	9 (17.6%)	0.001
<b>Function at admission</b>			
NIHSS at admission	10.6 ± 4.6	13.3 ± 4.0	0.000
FMM at admission	26.6 ± 23.2	18.4 ± 20.6	0.018
Pneumonia during rehabilitation	39 (21.5%)	8 (15.7%)	0.358
<b>Stroke outcome after 1 month</b>			
NIHSS score (after 1 month)	5.1 ± 2.2	6.4 ± 2.1	0.000
FMM (after 1 month)	51.1 ± 23.1	24.6 ± 20.9	0.000
<b>Functional improvements</b>			
FMM improvement after rehabilitation	24.5 ± 11.1	6.2 ± 7.6	0.000
NIHSS improvement after rehabilitation	5.5 ± 3.1	6.9 ± 2.7	0.003

PSD, poststroke dysphagia; NGT, nasogastric tube; MCA, middle cerebral artery; NIHSS, National Institute of Health Stroke Scale; FMM, Fugl-Meyer assessment motor score.

# HASIL PENELITIAN

- Tidak ada perbedaan signifikan ( $p>0.05$ ) dari 2 kelompok di variabel: Sex, Past Medical History, Stroke Location, Etiologi Stroke
- Terdapat perbedaan signifikan ( $p<0.05$ ) pada kelompok di variabel usia, fungsi motorik, fungsi sistem nervus keseluruhan, dan intubasi/trakeostomi
- Setelah rehabilitasi, fungsi motorik dari 2 kelompok mengalami perbaikan signifikan pada kelompok pelepasan NGT.

# HASIL PENELITIAN

TABLE 3 Prediction Model 1—Multivariate analysis for NGT removal after rehabilitation in PSD patients with overall NIHSS.

Independent variables	OR (95% CI)	P值
Age, years	0.904 (0.856–0.955)	0.000
FMM improvement after rehabilitation	1.241 (1.162–1.326)	0.000
NIHSS improvement after rehabilitation	0.714 (0.590–0.865)	0.001
Intubated or tracheostomy	4.516 (0.531–38.373)	0.167

PSD, poststroke dysphagia; NGT, nasogastric tube; NIHSS, National Institute of Health Stroke Scale; FMM, Fugl-Meyer assessment motor score.

Terdapat tiga faktor signifikan (*p-value* <0.05) yang memengaruhi pelepasan NGT setelah rehabilitasi pada pasien PSD :

1. Usia (nilai *p* 0.000)
2. Perbaikan skor FMM setelah rehabilitasi (nilai *p* 0.000)
3. Perbaikan skor NIHSS setelah rehabilitasi (nilai *p* 0.001)

Pasien yang lebih tua dan perbaikan skor FMM & NIHSS yang tidak signifikan → tidak adanya perbaikan dalam fungsi sistem nervus secara keseluruhan

# HASIL PENELITIAN

TABLE 4 Risk factors of NIHSS subscores for removal of NGT in patients with PSD.

	NGT removed N = 181	NGT reserved N = 51	P-value Mann Whitney U test
1a Level of consciousness	0 (0–0)	0 (0–0)	1.000
1b Ask month and age	0 (0–2)	0 (0–2)	0.448
1c Blink eyes and squeeze hands	0 (0–2)	0 (0–2)	0.005
2 Horizontal extraocular movements	0 (0–2)	0 (0–2)	0.040
3 Visual fields	0 (0–2)	0 (0–2)	0.706
4 Facial palsy	0 (0–2)	0 (0–2)	0.460
5 Arm motor drift	1 (0–3)	2 (0–3)	0.485
6 Leg motor drift	3 (0–3)	3 (0–3)	0.175
7 Limb ataxia	0 (0–1)	0 (0–1)	0.242
8 Sensation	0 (0–2)	0 (0–2)	0.623
9 Language/Aphasia	0 (0–2)	1 (0–2)	0.000
10 Dysarthria	0 (0–1)	0 (0–1)	0.010
11 Extinction/Inattention	0 (0–2)	0 (0–2)	0.831

PSD, poststroke dysphagia; NIHSS, National Institute of Health Stroke Scale.

Hasil tabel menunjukkan adanya **keterkaitan yang signifikan** dari subskor NIHSS yaitu pada **kelompok yang melepas NGT** dengan menunjukkan skor perbaikan pada mata berkedip dan meremas tangan, gerakan ekstraokular horizontal, bahasa/afasia, dan disartria yang diperoleh **hasil p-value <0.05**.

# HASIL PENELITIAN

TABLE 5 Prediction Model 2—Multivariate analysis for NGT removal after rehabilitation in PSD patients with NIHSS subscores.

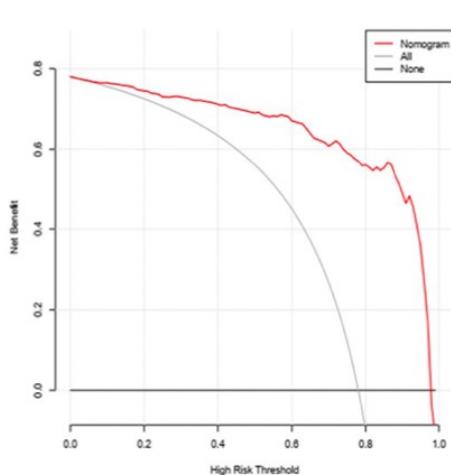
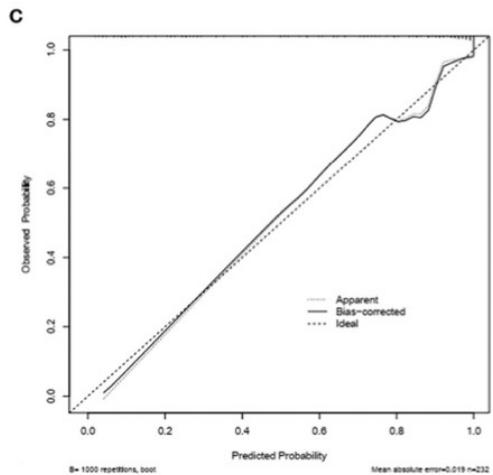
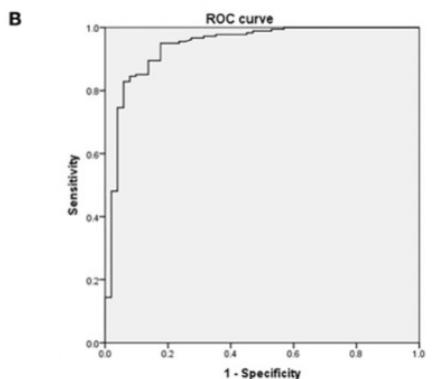
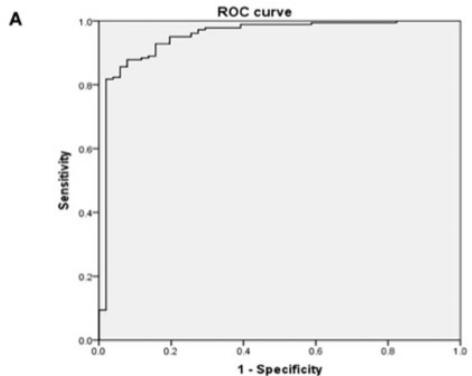
Independent variables	OR (95% CI)	P-value
Age, years	0.907 (0.859–0.957)	0.000
FMM improvement after rehabilitation	1.219 (1.145–1.299)	0.000
Intubated or tracheostomy	4.658 (0.529–41.023)	0.166
Item of NIHSS 1c improvement after rehabilitation	0.573 (0.092–3.569)	0.551
Item of NIHSS 2 improvement after rehabilitation	0.501 (0.121–2.072)	0.340
Item of NIHSS 9 improvement after rehabilitation	0.488 (0.252–0.946)	0.034
Item of NIHSS 10 improvement after rehabilitation	0.734 (0.201–2.682)	0.640

PSD, poststroke dysphagia; NGT, nasogastric tube; NIHSS, National Institute of Health Stroke Scale; FMM, Fugl-Meyer assessment motor score.

Berdasarkan prediction model 2, terdapat tiga faktor signifikan (*p-value* <0.05) yang memengaruhi pelepasan NGT setelah rehabilitasi pada pasien PSD :

1. Usia (nilai *p* 0.000)
2. Perbaikan skor FMM setelah rehabilitasi (nilai *p* 0.000)
3. Perbaikan skor NIHSS (item 9) setelah rehabilitasi (nilai *p* 0.034)

Pasien yang lebih tua, perbaikan motorik yang lebih sedikit, dan perbaikan bahasa yang lebih sedikit dapat menyebabkan peningkatan fungsi menelan yang terbatas setelah rehabilitasi.



Kurva karakteristik ROC → untuk mengetahui sensitivitas & spesifisitas. Dilakukan pengulangan pengambilan sampel 1.000 kali

- Kurva ROC model 1 → sensitivitas 95% dan spesifisitas 82,4%
- Kurva ROC model 2 → sensitivitas 92,8% dan spesifisitas 84,3%

FIGURE 2

(A) The area under ROC curve of Model 1 was 0.950. The sensitivity was 95.0% and the specificity was 82.4%. (B) The area under the ROC curve of Model 2 was 0.941. The sensitivity was 92.8% and the specificity was 84.3%. (C) The Bootstrap method, repeated sampling 1000 times, was used for validation, and the C-index value was 0.94. (D) In the range of 0.01–1, the net benefit rate of the model 2 that predicts NGT removal in PSD patients is > 0.

04

## DISKUSI

# DISKUSI

- Terdapat **korelasi signifikan antara usia dan pelepasan NGT** pada penelitian ini
- Semakin meningkatnya usia, maka akan terjadi perubahan seperti melemahnya kontraksi otot faring, daya tahan otot menelan yang memburuk, dan atrofi otot → menyebabkan disfagia
- Peningkatan fungsi motorik berkaitan dengan pelepasan NGT
- Dibandingkan dengan fungsi motorik awal, perbaikan motorik lebih praktis secara klinis karena pasien yang dirawat untuk rehabilitasi berada dalam tahap stroke yang berbeda, dan kebanyakan dari mereka tidak berada dalam keadaan onset stroke.
- **NIHSS** → alat penilaian semikuantitatif yang sistematis untuk **defisit neurologis terkait stroke**, telah diterapkan untuk memprediksi secara dini prognosis PSD pada stadium akut

# DISKUSI

- Perbaikan NIHSS pada pasien PSD berkaitan dengan pelepasan NGT → peningkatan fungsi motorik dan keseluruhan → meningkatkan plasitas otak → pasien mempelajari kembali cara menelan
- Item 4 NIHSS (kelumpuhan wajah dan kontrol motorik rongga mulut) → fungsi fase oral dlm menelan → tidak dapat memprediksi hasil PSD
- Item 9 NIHSS (bahasa/afasia) pada penelitian → untuk prediksi pemulihan menelan pada tahap subakut setelah rehabilitasi
- Subitem NIHSS → hanya disartria berat yang muncul & berpengaruh signifikan terjadinya prolonged dysphagia

05

## KETERBATASAN PENELITIAN

# KETERBATASAN PENELITIAN

1. Penelitian hanya dilakukan di satu institusi → jumlah pasien yang relative besar dan data pasien yang rehabilitasi rawat inap besar secara nasional
2. Tingkat keparahan disfagia saat masuk tempat penelitian tidak dinilai → konfirmasi disfagia sedang-berat pasien PSD dilakukan dengan tes skrining menelan
3. Hasil terbatas pada lokasi lesi supratentorial unilateral sehingga temuan penelitian kurang banyak yang digunakan

06

## PENILAIAN JURNAL

# ANALISIS PICO

- **POPULASI** : Pasien PSD yang menggunakan NGT, memiliki riwayat stroke iskemik supratentorial pertama dalam 3 bulan yang berada pada rentang usia 18-80 tahun,
- **INTERVENSI** : Pasien diminta untuk duduk & diinstrusikan minum 3 atau 5 ml air secara bertahap, kemudian jumlah air ditingkatkan secara bertahap (10, 30,60 ml). Selanjutnya, pasien minum 3 atau 5 ml yogurt. Jika **Berhasil** → VFSS.
- **COMPARASION** : Pembagian dua kelompok yakni pasien PSD dengan NGT sebesar 51 pasien dan pasien PSD tanpa NGT sebesar 181 pasien
- **OUTCOMES** :
- ✓ Stlh 4 mgg rehabilitasi, **78%** dari 232 pasien PSD berhasil **melepas NGT**
- ✓ Terdapat tiga faktor signifikan (*p-value <0.05*) yang memengaruhi pelepasan NGT setelah rehabilitasi pada pasien PSD : Usia, Perbaikan skor FMM setelah rehabilitasi, Perbaikan skor NIHSS terutama item 9 setelah rehabilitasi

## JBI CRITICAL APPRAISAL CHECKLIST FOR COHORT STUDIES

Reviewer \_\_\_\_\_ Date \_\_\_\_\_  
Author \_\_\_\_\_ Year \_\_\_\_\_ Record Number \_\_\_\_\_  
Yes      No      Unclear      Not applicable

1. Were the two groups similar and recruited from the same population?
2. Were the exposures measured similarly to assign people to both exposed and unexposed groups?
3. Was the exposure measured in a valid and reliable way?
4. Were confounding factors identified?
5. Were strategies to deal with confounding factors stated?
6. Were the groups/participants free of the outcome at the start of the study (or at the moment of exposure)?
7. Were the outcomes measured in a valid and reliable way?
8. Was the follow up time reported and sufficient to be long enough for outcomes to occur?
9. Was follow up complete, and if not, were the reasons to loss to follow up described and explored?
10. Were strategies to address incomplete follow up utilized?
11. Was appropriate statistical analysis used?

Overall appraisal  Include  Exclude  Seek further info

Comments (Including reason for exclusion)

# JBI CRITICAL APPRAISAL

Reviewer : Rr. Ariesna Muharany

Tanggal: 20-03-2023

Penulis : Bingjie Li, Tong Zhang, Jun Zhao,

Pengkun Li, Zhangwei Wu, dan Shengjie Zhao

Tahun : 2023

07

# KESIMPULAN

# KESIMPULAN

- Peningkatan usia, motorik dan fungsi keseluruhan, dan adanya gangguan berbicara berhubungan dengan pelepasan NGT pada pasien dengan PSD setelah 4 minggu rehabilitasi
- Pasien dengan pemulihan fungsi motorik yang cepat setelah rehabilitasi & melewati VFSS dapat melepas NGT (fungsi menelan sudah baik)
- Rehabilitasi bersifat spesifik untuk pasien, dengan terapi disfagia yang berhasil, belum tentu memberikan hasil yang sama pada populasi lain.
- Program rehabilitasi menelan harus disesuaikan dengan pemulihan motorik agar dapat mengikuti & mengelola program rehabilitasi menelan dengan baik.

# REFERENSI

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